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Washington, D.C. 20531

COPY

Exp. Mail No. EM 377 155 497

08/808315

FORM PTO-1082

Case Docket No. 247/PD-5385

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): HIROYUKI KINOSHITA; MOTOHIRO UMEHARA

For: SAPPHIRE MONOCRYSTAL, SEMICONDUCTOR LASER DIODE USING THE SAME FOR SUBSTRATE, AND METHOD FOR MANUFACTURING THE SAME

Enclosed are:

- ☒ Sheet(s) of drawing (6 formal _ informal) + 0 extra copies
- ☒ An assignment of the invention to KYOCERA CORPORATION. _ Will follow.
- ☒ A certified copy of Jap. Pat. Appl. 8-43862 filed 2/29/96; 8-43863 filed 2/29/96 from which priority is claimed in the subject case pursuant to Rule 55(b) and 35 USC 119. ☒ Will follow.
- ☐ An associate power of attorney.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.
- ☒ Declaration and Power of Attorney. _ Will Follow.
- ☐ Information Disclosure Statement.
- ☐ Preliminary Amendment.

CALCULATION OF FEES				
ITEM	NUMBER OF CLAIMS FILED MINUS BASE*	NUMBER OF CLAIMS OVER BASE x RATE	\$ AMOUNT	\$ FEE
A TOTAL CLAIMS FEE	16 - 20* =	0 x \$11.00 or \$22.00	\$	
B INDEPENDENT CLAIMS FEE**	7 - 3* =	4 x \$40.00 or \$80.00	\$320.00	
C BASIC FEE		SMALL ENTITY FEE = \$385.00 LARGE ENTITY FEE = \$770.00		\$770.00
D MULTIPLE-DEPENDENT CLAIMS FEE		SMALL ENTITY FEE = \$130.00 LARGE ENTITY FEE = \$260.00		\$
E TOTAL FEE				\$1,090.00

- ☐ Please charge my Deposit Account No. the amount of \$_____. A copy of this letter is enclosed.
- ☒ A check in the amount of \$1,090.00 to cover the filing fee is enclosed. A copy of this letter is enclosed.
- ☒ Check for \$40.00 covering Recordation of Assignment fee enclosed. A copy of this letter is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 12-1820. A duplicate copy of this sheet is enclosed.
- ☒ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,


Roger R. Wise
Registration No. 31,204

Date: February 27, 1997

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247/PD-5385

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of
Hirofuki Kinoshita, et al.

APPLICATION BRANCH

Serial No.:

Filed:

For: SAPPHIRE MONOCRYSTAL,
SEMICONDUCTOR LASER DIODE USING THE
SAME FOR SUBSTRATE, AND METHOD FOR
MANUFACTURING THE SAME

CERTIFICATE OF MAILING

Ast. Commissioner of Patents and Trademarks
Washington, D.C. 20231

"Express Mail" Mailing Label No. EM 377 155 497
February 27, 1997

I hereby certify that patent application papers, including
specification (25 pages), 16 claims, 1 page abstract, declaration
(1 page), 6 sheets formal drawings, assignment, and filing fee
and recording fee checks are being deposited with the United
States Postal Service "Express Mail Post Office with sufficient
postage to Addressee" service under 37 CFR 1.10 on the date
indicated above and is addressed to The Commissioner of Patents
and Trademarks, Washington, D.C. 20231.

February 27, 1997

Date of Deposit

Chris Tamayo

(Name of person mailing paper or fee)

(Signature)